

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(571) 273-2885**

or **Fax**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated, unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26389 7590 07/21/2005

CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS,
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1420 FIFTH AVENUE
SUITE 2800

SEATTLE, WA 98101-2347
08/24/2005 HDESTA2 00000052 09936146

01 FC:1501 1400.00 OP

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Carole Julyan

(Depositor's name)

Carole Julyan

(Signature)

August 22, 2005

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/936,146	02/11/2002	Stephen M. Testa	GERC 117991	7913

TITLE OF INVENTION: METHODS AND COMPOSITIONS FOR INHIBITION OF RNA SPLICING

Adjustment date: 08/24/2005 HDESTA2
02/26/2004 RHEBRAH1 00000011 09936146
01 FC:1501 -1330.00 OP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$70	\$0	\$70	10/21/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
RILEY, JEZIA	1637	435-006000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Christensen O'Connor

Johnson Kindness PLLC

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Geron Corporation
The University of Rochester

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Menlo Park, California
Rochester, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed. (Check No. _____)

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 03-1740 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Dennis K. Shelton
Dennis K. Shelton

Date August 22, 2005

Typed or printed name

Registration No. 26,997

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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MAIL STOP ISSUE FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: S.M. Testa et al. Attorney Docket No: GERC117991
Application No: 09/936,146 Group Art Unit: 1637
Filed: February 11, 2002 Examiner: J. Riley
Title: METHODS AND COMPOSITIONS FOR INHIBITION OF RNA SPLICING

REQUEST FOR APPLICATION OF PREVIOUSLY PAID ISSUE FEE AND
TRANSMITTAL OF BALANCE OF ISSUE FEE DUE

Seattle, Washington 98101

August 22, 2005

TO THE COMMISSIONER FOR PATENTS

A. Request For Application Of Previously Paid Issue Fee

This application was previously allowed on November 24, 2004, and an issue fee for this application was previously paid on February 17, 2004, in the amount of \$1,330. Subsequently, the U.S. Patent and Trademark Office withdrew the application from issue, and through further prosecution a second Notice of Allowance has been issued. Application of the issue fee previously paid in this application against the issue fee currently due is requested.

B. Issue Fee Transmittal

Transmitted herewith is the Fee(s) Transmittal (Form PTOL-85) and our Check No. 165636 in the amount of \$70 for payment of the issue fee in the above-identified application. The balance of the issue fee due is calculated as shown below.

COMPUTATION OF BALANCE OF ISSUE FEE DUE

	Amount
Issue Fee	\$1,400
Issue Fee Previously Paid	\$1,330
TOTAL DUE	\$70

C. Additional Fee Charges or Credit for Overpayment


The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request

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206.682.8100

for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application.

Respectfully submitted,

CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}


Dennis K. Shelton
Registration No. 26,997
Direct Dial No. 206.695.1718

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date.

Date: 8/22/05



DKS:cj

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